Docket No.: 1009-0119PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Andrew John EASTON et al.

Application No.: 10/599,904

Confirmation No.: 6366

Filed: October 12, 2006

Art Unit: N/A

For: VIRAL ASSAY

Examiner: Not Yet Assigned

# INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

### II. COPIES

a. Copies of cited U.S. patents and patent application publications are not included. Copies of foreign patent documents and non-patent literature are included.

Docket No.: 1009-0119PUS1 Application No.: 10/599,904 b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned. REFERENCES PREVIOUSLY CITED OR SUBMITTED - Pursuant to 37 C.F.R. ¢. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120: U.S. Appl. No(s) and U.S. Filing Date PCT/GB2005/001431 filed April 14, 2005 Ш. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) M DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy. DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the b. relevance of all patents, publications, or other information listed that is not in the English language is as follows: 冈 ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search c. report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3). d. OTHER - The following additional information is provided for the Examiner's consideration.

IV. <u>FEES</u> (check one box) This Information Disclosure Statement is being filed concurrently with the filing a. of a new patent application; therefore, no fee is required. b. This Information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.) d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R.  $\S 1.97(b)(2)$ ). No fee or statement is required. This Information Disclosure Statement is being filed concurrently with the filing e. of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required. X f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p). This Information Disclosure Statement is being filed before the mailing date of a g. Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).  $\lceil \rceil$ No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.

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or

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Application No.: 10/599,904 Docket No.: 1009-0119PUS1 See the statement below. No fee is required. V. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box) The undersigned hereby states that: Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or Each item of information contained in the IDS was first cited in any b. communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or No item of information contained in the IDS was cited in a communication from a C. foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS. d. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

### VI. PAYMENT OF FEES (check one box)

Application No.: 10/599,904 Docket No.: 1009-0119PUS1 The required fee is listed on the attached Fee Transmittal. X No fee is required. If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448. If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees. Dated: February 15, 2007 Respectfully submitted, Leonard R. Svensson Registration No.: 30,330 BIRCH, STEWART, KOLASCH & BIRCH, LLP 12770 High Bluff Drive Suite 260 San Diego, California 92130 (858) 792-8855 Attorney for Applicant Attachment(s): PTO-SB08 Documents Foreign Search Report Fee

Other:

PTO/SB/08A/B (09-06)

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Sut	estitute for form 1449/PTO				Complete if Known
				Application Number	10/599,904-Conf. #6366
VI	<b>IFORMATION</b>	N DIS	SCLOSURE	Filing Date	October 12, 2006
ે કે	UNFORMATION DISCLOSUR STATEMENT BY APPLICAN	APPLICANT	First Named Inventor	Andrew John EASTON	
				Art Unit	N/A
	STATEMENT BY APPLICAN (Use as many sheets as necessary)	necess ary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	3	Attorney Docket Number	1009-0119PUS

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-6,270,958	08-07-2001	Olivo et al.	
	AB*	US-6,376,171	04-23-2002	Hardy et al.	
	AC*	US-6,399,354	06-04-2002	Knipe et al.	
	AD*	US-20020151033-A	10-17-2002	Knipe et al.	
	AE*	US-20030152912-A1	08-14-2003	Dyali et al.	

		POREIC	SN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	BA	WO-03/063783	08-07-2003	Apath Lic		
	BB	WO-00/40760	07-13-2000	Univ Washington et al.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. \* The NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of SPTO Pateria Documents at <a href="https://www.uscolo.gov">www.uscolo.gov</a> or MPEP 901.04. \* Enter Office that issue the document, by the two-letter code (WIPO Standard ST.3). \* Fir Japanese pateria documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \* Applicant is to place a check mark here if English language Trenstation is attached.

Examiner	Date	
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Substitute for form 1449/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known				
N. T.				Application Number	10/599,904-Conf. #6366
M	NFORMATION DISCLOSUR STATEMENT BY APPLICAN (Use as many sheets as necessary)	SCLOSURE	Filing Date	October 12, 2006	
*			APPLICANT	First Named Inventor	Andrew John EASTON
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	1009-0119PUS

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Cite No.1 Include frame of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	CA	RANDHAWA, J. S. et al., "Resuce of synthetic minireplicons establishes the absence of the NS1 and NS2 genes from avian pneumovirus," J. VIROL., 1997, Vol. 71, No. 12, Pgs. 9849-9854.					
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	CP	TNEG, M. N. et al., "Identification of the respiratory syncytial virus proteins required for formation and passage of helper-dependent infectious particles," JOURNAL OF VIROLOGY, July 1998, Vol. 72, No. 7, Pgs. 5707-5716.	The same of the sa				
	CQ	PARK, K. H. et al., "Rescue of a foreign gene by sendai virus," PROC. NATL. ACAD. SCI,					

Examiner	Date	
Signature	Consi	dered

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	Art Unit	N/A	
(Use as many she ets as necessary)	Examiner Name	Not Yet Assigned	
Sheet 3 of 3	Attorney Docket Number	1009-0119PUS	

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CR	MARROTT, A. C. et al., "Reverse genetics of the paramyxoviridae," ADVANCES IN VIRUS RESEARCH, 1999, Vol. 53, Pgs. 321-340.	
CS	WANTAN SE, W. et al., "MTT colorimetric assay system for the screening of anti-orthomyxo- and anti-paramyxoviral agents," JOURNAL OF VIROLOGICAL METHODS, Vol. 48, 1994, Pgs. 257-265.	
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CU	PELET, Thierry et al., Nigh throughput screening assay for negative single stranded RNA virus polymerase inhibition." JOURNAL OF VIROLOGICAL METHODS, Vol. 128, 2005, Pgs. 29-36.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citations in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is check mark here if English language Translation is attached.